

Sheet 1 of 2

PTOSB08A

INFORMATION DISCLOSURE STATEMENT
BY APPLICANTATTY DOCKET NO.
37945-0024SERIAL NO.
09/936,205

MAR 04 2002

APPLICANT
Richard Anthony Godwin SMITH *et al.*

TECH CENTER 1600/2900

FILING DATE
September 10, 2001GROUP
T be assigned

Date Submitted: January 25, 2002

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE (M/D/Y)	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SS	B01 5,843,778	12/1/98	ROSENGARD <i>et al.</i>	435	325	
SS	B02 5,847,082	12/8/98	ROTHER <i>et al.</i>	530	350	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE (M/D/Y)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
SS	B03 WO89/09220	10/1989	WIPO			
SS	B04 WO 91/05047	04/1991	WIPO			

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

SS	B05	Bach <i>et al.</i>	Immunol Rev. 141: 5-30 (1994)
SS	B06	Blackshear	J. Biol. Chem. 268: 1501-1504 (1993)
SS	B07	Brasile <i>et al.</i>	Transplant Proc. 19: 894-895 (1987)
SS	B08	Buysmann <i>et al.</i>	Transplantation 64: 1620-1623 (1997)
SS	B09	Dupe <i>et al.</i>	Thrombosis & Haemostasis 65(6): 695 (1991)
SS	B10	Fujino <i>et al.</i>	J. Heart Lung Transplant 16: 1073-1080 (1997)
SS	B11	Hauet <i>et al.</i>	Transplantation 64: 1082-1086 (1997)
SS	B12	Ho <i>et al.</i>	Clin. Immunol. Immunopathol. 80: 540-545 (1996)
SS	B13	Hourcade <i>et al.</i>	J. Exp. Med. 168: 1265-1270 (1988)
SS	B14	Klickstein <i>et al.</i>	J. Exp. Med. 165: 1095-1112 (1987)
SS	B15	Koyamada <i>et al.</i>	Transplantation 65: 1210-1215 (1998)
SS	B16	Krych <i>et al.</i>	FASEB J. 3(A): 368 (1989)
SS	B17	Krych <i>et al.</i>	PNAS 88: 4353-4357 (1991)
SS	B18	McLaughlin <i>et al.</i>	TIBS 20: 272-276 (1994)
SS	B19	Mikata <i>et al.</i>	Transplant Immunol. 2: 107-110 (1998)
SS	B20	Morgan	The Biological Effects Of Complement Activation, In: Complement, Clinical Aspects And Relevant To Disease, Academic Press, London (1990)
SS	B21	Oberpenning <i>et al.</i>	Nature Biotech., 17, 149-155 (1999)
SS	B22	Piddesden <i>et al.</i>	J. Immunol. 152: 5477-5484 (1994)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1
PTO/SB/08A

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

(Use several sheets if necessary)

ATTY DOCKET NO.
37945-0024

SERIAL NO.
09/936,205

APPLICANT
Richard Anthony Godwin SMITH et al.

FILING DATE
September 10, 2001

GROUP
T be assign d

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE (M/D/Y)	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SS	A01	5,693,462	12/1/97	RAYMOND	435	1	
SS	A02	5,702,881	12/30/97	BRASILE ET AL.	435	1.2	
SS	A03	5,833,989	11/10/98	MOSSAKOWSKA ET AL.	424	185.1	

RECEIVED
MAR 04 2002
TECH CENTER 180012800

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE (M/D/Y)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
SS	A04	WO90/10385	9/20/90	WIPO			
SS	A05	WO91/05855	5/2/91	WIPO			
SS	A06	WO97/11601	4/3/97	WIPO			
SS	A07	WO/97/18307	5/22/97	WIPO			
SS	A08	WO98/02454	1/22/98	WIPO			
SS	A09	WO/98/39433	9/11/98	WIPO			

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

SS	A10	HANCOCK ET AL.	Cell 63: 133-139 (1990)
SS	A11	MAKRIDES ET AL.	Journal of Biological Chemistry 267(34): 24754-24761 (1992)

EXAMINER

DATE CONSIDERED

10/27/01

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 2

PTO/SB/08A

01145

JAN 11 2002

RECEIVED

MAR 04 2002

TECH CENTER 1600 2900

ATTY DOCKET NO. 37945-0024

SERIAL NO. 09/936,205

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT Richard Anthony Godwin SMITH et al.

FILING DATE September 10, 2001

GROUP T be assigned

Date Submitted: January 25, 2002

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE (M/D/Y)	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SS	B01 5,843,778	12/1/98	ROSENGARD et al.	435	325	
SS	B02 5,847,082	12/8/98	ROTHER et al.	530	350	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE (M/D/Y)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
SS	B03 WO89/09220		WIPO			
SS	B04 WO 91/05047		WIPO			

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

B05	Bech et al.	Immunol Rev. 141: 5-30 (1994)
B06	Blackshear	J. Biol. Chem. 268: 1501-1504 (1993)
B07	Brasile et al.	Transplant Proc. 19: 894-895 (1987)
B08	Buyssmann et al.	Transplantation 64: 1620-1623 (1997)
B09	Dupe et al.	Thrombosis & Haemostasis 65(S): 695 (1991)
B10	Fujino et al.	J. Heart Lung Transplant 16: 1073-1080 (1997)
B11	Hauet et al.	Transplantation 64: 1082-1088 (1997)
B12	Ho et al.	Clin. Immunol. Immunopathol. 80: 540-545 (1996)
B13	Hourcade et al.	J. Exp. Med. 168: 1255-1270 (1988)
B14	Klickstein et al.	J. Exp. Med. 165: 1095-1112 (1987)
B15	Koyamada et al.	Transplantation 65: 1210-1215 (1998)
B16	Krych et al.	FASEB J. 3(A): 368 (1989)
B17	Krych et al.	PNAS 88: 4353-4357 (1991)
B18	McLaughlin et al.	TIBS 20: 272-276 (1994)
B19	Mikata et al.	Transplant Immunol. 2: 107-110 (1998)
B20	Morgan	The Biological Effects Of Complement Activation. In: Complement, Clinical Aspects And Relevant To Disease, Academic Press, London (1990)
B21	Oberpenning et al.	Nature Biotech., 17, 149-155 (1999)
B22	Piddlesden et al.	J. Immunol. 152: 5477-5484 (1994)

EXAMINER

DATE CONSIDERED 1/27/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1

PTO/SB/08A

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

(Use several sheets if necessary)

ATTY DOCKET NO.
37945-0024

SERIAL NO.
09/936,205

APPLICANT
Richard Anthony Godwin SMITH et al.

FILING DATE
September 10, 2001

GROUP
T be assign d

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE (M/D/Y)	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SS	A01	5,693,462	12/1/97	RAYMOND	435	1	
SS	A02	5,702,881	12/30/97	BRASILE ET AL.	435	1.2	
SS	A03	5,833,989	11/10/98	MOSSAKOWSKA ET AL.	424	185.1	

RECEIVED
MAY 04 2002
DEPT. OF COMMERCE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE (M/D/Y)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
SS	A04	WO90/10385	9/20/90	WIPO			
SS	A05	WO91/05855	5/2/91	WIPO			
SS	A06	WO97/111801	4/3/97	WIPO			
SS	A07	WO/97/18307	5/22/97	WIPO			
SS	A08	WO98/02454	1/22/98	WIPO			
SS	A09	WO/98/39433	9/11/98	WIPO			

Duplicate

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

SS	A10	HANCOCK ET AL.	Cell 63: 133-139 (1990)
SS	A11	MAKRIDES ET AL.	Journal of Biological Chemistry 267(34): 24754-24761 (1992)

EXAMINER

DATE CONSIDERED

1/27/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(Use several sheets if necessary)

ATTY DOCKET NO.
37945-0024

SERIAL NO.
09/936,205

APPLICANT
Richard Anthony Godwin SMITH et al.

FILING DATE
September 10, 2001

GROUP
7-66-assigned 1653

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE (M/D/Y)	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AR	A01 5,693,462	12/1/97	RAYMOND	435	1	
	A02 5,702,881	12/30/97	BRASILE ET AL.	435	1.2	
	A03 5,833,989	11/10/98	MOSSAKOWSKA ET AL.	424	185.1	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE (M/D/Y)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
AR	A04 WO90/10385	9/20/90	WIPO			
	A05 WO91/05855	5/2/91	WIPO			
	A06 WO97/11601	4/3/97	WIPO			
	A07 WO97/18307	5/22/97	WIPO			
	A08 WO98/02454	1/22/98	WIPO			
	A09 WO98/39433	9/11/98	WIPO			

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	A10 HANCOCK ET AL.	Cell 63: 133-139 (1990)
AR	A11 MAKRIDES ET AL.	Journal of Biological Chemistry 267(34): 24754-24761 (1992)

EXAMINER

Agnes B. Rode

DATE CONSIDERED

09/28/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 2

PTO/SB/DAA

INFORMATION DISCLOSURE STATEMENT
BY APPLICANTATTY DOCKET NO.
37945-0024SERIAL NO.
09/936,205

MAR 04 2002

APPLICANT
Richard Anthony Godwin SMITH *et al.*

TECH CENTER 1800 2900

FILING DATE
September 10, 2001GROUP
7 - ~~be assigned~~ 1653

Date Submitted: January 25, 2002

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE (M/D/Y)	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AR	B01	5,843,778	12/1/98	ROSENGARD <i>et al.</i>	435	325
AR	B02	5,847,062	12/8/98	ROTHER <i>et al.</i>	530	350

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE (M/D/Y)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
AR	B03	WO89/09220	WIPO			
AR	B04	WO 91/05047	WIPO			

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	B05	Bach <i>et al.</i>	Immunol Rev. 141: 5-30 (1994)
	B06	Blackshear	J. Biol. Chem. 268: 1501-1504 (1993)
	B07	Brasile <i>et al.</i>	Transplant Proc. 19: 894-895 (1987)
	B08	Buysmann <i>et al.</i>	Transplantation 64: 1620-1623 (1997)
	B09	Dupe <i>et al.</i>	Thrombosis & Haemostasis 65(6): 895 (1991)
	B10	Fujino <i>et al.</i>	J. Heart Lung Transplant 16: 1073-1080 (1997)
	B11	Hauet <i>et al.</i>	Transplantation 64: 1082-1086 (1997)
	B12	Ho <i>et al.</i>	Clin. Immunol. Immunopathol. 80: 540-545 (1996)
	B13	Hourcade <i>et al.</i>	J. Exp. Med. 168: 1255-1276 (1988)
	B14	Klickstein <i>et al.</i>	J. Exp. Med. 165: 1095-1112 (1987)
	B15	Koyamada <i>et al.</i>	Transplantation 65: 1210-1215 (1998)
	B16	Krych <i>et al.</i>	FASEB J. 3(A): 369 (1989)
	B17	Krych <i>et al.</i>	PNAS 88: 4353-4357 (1991)
	B18	McLaughlin <i>et al.</i>	TIBS 20: 272-276 (1994)
	B19	Mikata <i>et al.</i>	Transplant Immunol. 2: 107-110 (1998)
	B20	Morgan	The Biological Effects Of Complement Activation, In: Complement, Clinical Aspects And Relevant To Disease, Academic Press, London (1990)
	B21	Oberpenning <i>et al.</i>	Nature Biotech., 17, 149-155 (1999)
✓	B22	Piddisden <i>et al.</i>	J. Immunol. 152: 5477-5484 (1994)

EXAMINER

Agnes B

Roudie

DATE CONSIDERED

09/29/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1

PTO/SB/08A

JAN 30 2002

ATTY DOCKET NO.
37945-0024SERIAL NO.
09/936,205INFORMATION DISCLOSURE STATEMENT
BY APPLICANTAPPLICANT
Richard Anthony Godwin SMITH et al.FILING DATE
September 10, 2001GROUP
To be assigned 1653

Date Submitted: January 30, 2002

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE (M/D/Y)	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

RECEIVED

MAR - 7 2002

TECH CENTER 1600/2900

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE (M/D/Y)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	C01	ATHERTON et al.	Solid Phase Peptide Synthesis, A Practical Approach, Chapter 3, pgs. 25-37, IRL Press (1989)
	C02	JOHNSON	Current Opinion in Nephrology and Hypertension 8:120-127 (1997)
	C03	NANGAKU et al.	J. Am. Soc. Nephrol. 10: 2323-2331 (1999)
V	C04	OKABAYASHI et al.	Ann. Thorac. Surg. 58: 50-56 (1994)

Duplicate

EXAMINER

A. Rodde

DATE CONSIDERED

9/28/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Notice of References Cited

Application/Control No.

09/936,205

Applicant(s)/Patent Under
Reexamination
SMITH ET AL.

Examiner

Agnes B. Rooke

Art Unit

1653

Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,713,606	03-2004	Smith et al.	530/350
	B	US-6,193,979	02-2001	Rittelschaus et al.	424/195.11
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.